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## PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-875

Application or Docket Number

09/470566

## CLAIMS AS FILED - PART I

(Column 1) (Column 2)

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE (37 CFR 1.16(a))		
TOTAL CLAIMS (37 CFR 1.16(c))	minus 20 =	
INDEPENDENT CLAIMS (37 CFR 1.16(b))	minus 3 =	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))		

## SMALL ENTITY

RATE	FEES
	\$
X \$	=
X \$	=
+ \$	=

OTHER THAN  
SMALL ENTITY

RATE	FEES
	\$
X \$	=
X \$	=
+ \$	=

\* If the difference in column 1 is less than zero, enter "0" in column 2.

TOTAL

OR TOTAL

## CLAIMS AS AMENDED - PART I

6-30-05 (Column 1) (Column 2) (Column 3)

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total (37 CFR 1.16(c))	22	Minus	** 37	=
Independent (37 CFR 1.16(b))	3	Minus	*** 6	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

## SMALL ENTITY

RATE	ADDI- TIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL ADD'L FEE	

OTHER THAN  
SMALL ENTITY

RATE	ADDI- TIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL ADD'L FEE	

(Column 1) (Column 2) (Column 3)

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total (37 CFR 1.16(c))	*	Minus	**	=
Independent (37 CFR 1.16(b))	*	Minus	***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

RATE	ADDI- TIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL ADD'L FEE	

OTHER THAN  
SMALL ENTITY

RATE	ADDI- TIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL ADD'L FEE	

(Column 1) (Column 2) (Column 3)

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total (37 CFR 1.16(c))	*	Minus	**	=
Independent (37 CFR 1.16(b))	*	Minus	***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

RATE	ADDI- TIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL ADD'L FEE	

OTHER THAN  
SMALL ENTITY

RATE	ADDI- TIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL ADD'L FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

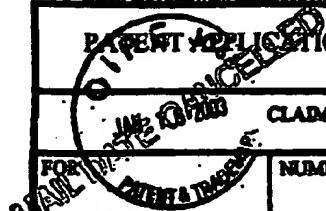
JAN 17 2003

Approved for use through 10/31/2002. OMB 0651-0032  
U. S. Patent and Trademark Office, U. S. DEPARTMENT OF COMMERCE

## PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number

09/470,566



## CLAIMS AS FILED - PART I

(Column 1) (Column 2)

SMALL ENTITY OR OTHER THAN  
SMALL ENTITY

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEES	RATE	FEES
BASIC FEE (07 CFR 1.14(a))				\$ _____		
TOTAL CLAIMS (07 CFR 1.14(b))	20	minus 20 =	x \$ _____		x \$ _____	
INDEPENDENT CLAIMS (07 CFR 1.14(b))	5	minus 3 =	x _____		x _____	
MULTIPLE DEPENDENT CLAIM PRESENT (07 CFR 1.14(c))			+ _____		+ _____	
				TOTAL <b>458</b>		

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

SMALL ENTITY OR OTHER THAN  
SMALL ENTITY

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
	Total (07 CFR 1.14(a))	24	Minus	** 20 = 4	x \$ 9 -	<b>36</b>		
	Independent (07 CFR 1.14(b))	5	Minus	*** 5 = 0	x 42 -	0		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (07 CFR 1.14(c))								
<b>RECEIVED</b> JAN 21 2003 Technology Center 2600								

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA				
	Total (07 CFR 1.14(a))	24	Minus	** 24 = 0	x \$ 9 -			
	Independent (07 CFR 1.14(b))	5	Minus	*** 5 = 0	x 42 -	0		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (07 CFR 1.14(c))								

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA				
	Total (07 CFR 1.14(a))	37	Minus	** 24 = 13	x \$ 25 -	<b>325</b>		
	Independent (07 CFR 1.14(b))	6	Minus	*** 5 = 1	x 100 -	100		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (07 CFR 1.14(c))								

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

Service Fee Statement: This form is estimated to take 9.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/30 (08-04)  
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**Request  
for  
Continued Examination (RCE)  
Transmittal**

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/470,566
Filing Date	12/22/1997
First Named Inventor	Kendy A. Roman
Art Unit	2613
Examiner Name	Behrooz M. Sefi
Attorney Docket Number	RHN 566

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

I.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_  
II.  Other \_\_\_\_\_

b.  Enclosed

I.  Amendment/Reply  
II.  Affidavit(s)/Declaration(s) on page 2  
III.  Information Disclosure Statement (IDS)  
IV.  Other \_\_\_\_\_

2. **Miscellaneous**

a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)  
b.  Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

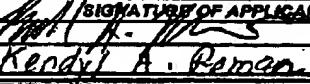
a.  The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 503179. I have enclosed a duplicate copy of this sheet.

I.  RCE fee required under 37 CFR 1.17(e)  
II.  Extension of time fee (37 CFR 1.136 and 1.17)  
III.  Other \_\_\_\_\_

b.  Check in the amount of \$ \_\_\_\_\_ enclosed

c.  Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Signature		Date	01/14/2005
Name (Print/Type)	Kendy A. Roman	Registration No.	

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature		Date	01/14/2005
Name (Print/Type)	Kendy A. Roman	Registration No.	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9198 and select option 2.

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PTO-86/22 (10-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Docket Number (Optional)
FY 2005 (fees effective on or after October 1, 2004)		RHN566
Application Number	09/470,566	Filed 12/22/1999
For General Purpose Compression for Video Images (RHN)		Examiner Behrooz M. Sefi
Art Unit 2613		

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):

	Eas	Small Entity Eas	
<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$110	\$55	\$_____
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$430	\$215	\$_____
<input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$980	\$490	\$490.00
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1530	\$765	\$_____
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2080	\$1040	\$_____

Applicant claims small entity status. See 37 CFR 1.27.

A check in the amount of the fee is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Director has already been authorized to charge fees in this application to a Deposit Account.

The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 503179. I have enclosed a duplicate copy of this sheet.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the

applicant/inventor.

assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/86).

attorney or agent of record. Registration Number \_\_\_\_\_

attorney or agent under 37 CFR 1.34.  
Registration number if acting under 37 CFR 1.34 \_\_\_\_\_

Signature

01/14/2005

Date

Kerdy A. Roman

408-739-9517

Telephone Number

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22213-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22213-1450.

If you need assistance in completing the form, call 1-800-PTO-6166 and select option 2.

09470300

07/26/2005 PTO/2005 00000002 503179  
01 FC-2253 490.00 DA  
P-5 4087398882 2005 5:47PM mediaframe inc.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CEP: 11/14/2005

Application of: Kendyl A. Roman, et. al. Art Unit: 2613 JAN 14 2005

Application No.: 09/470,566 Examiner: Behrooz Senfi

Filed: 1999 December 22

Title: GENERAL PURPOSE COMPRESSION FOR VIDEO IMAGES (RHN)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMMENDMENT/REPLY

Sir:

In response to the final Office Action mailed July 14, 2004, please consider the following amendments and arguments (submitted as a Request for Continued Examination).

1. A declaration regarding previous amendment begins on page 2 of this paper.
2. Amendments to the claims are reflected in the listing of claims, which begins on page 3 of this paper.
3. Remarks/Arguments begin on page 9 of this paper.

11/26/2005 PZIMMER 0000001 503179 09470566

01 FC:2201 100.00 DA  
02 FC:2202 325.00 DA

Application # 09/470,566  
Submitted January 14, 2005  
Reply to Office Action of July 14, 2004

**IV. New Claims**

48. Claims 25-37 are presented with this amendment. Claim 25 covers the same scope as claim 1 and claims 26-37 are depended on claim 25. Therefore, these claims should not require an election or restriction.

49. Claims 25-37 are presented to more distinctly claim the subject matter. No new matter is added. These claims are not being added to overcome prior art.

50. Applicant submits that claims 25-37 are patentable as written.

**V. Reconsideration Requested**

51. The undersigned respectfully submits that, in view of the earlier response and the foregoing remarks, the rejections of the claims raised in the Office Action have been fully addressed and overcome, and the present application is believed to be in condition for allowance. It is respectfully requested that this application be reconsidered, that these claims be allowed, and that this case be passed to issue. If it is believed that a telephone conversation would expedite the prosecution of the present application, or clarify matters with regard to its allowance, the Examiner is invited to call the undersigned inventor at 408-739-9517.

Respectfully submitted,



**Kendyl A. Roman**

Phone: 408-739-9517

Date: January 14, 2004

